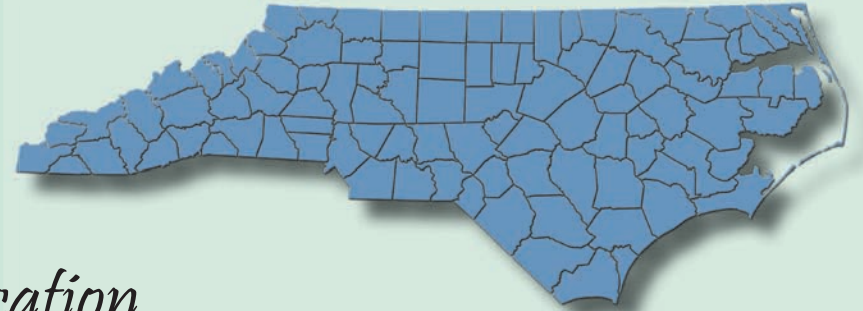


*Embracing*  
*... Health*  
*... Science*  
*... Education*



# *Embracing North Carolina*



North Carolina GlaxoSmithKline  
Foundation FOR THE ADVANCEMENT OF  
EDUCATION, SCIENCE AND HEALTH

*2009 Annual Report*

## Introduction

The North Carolina GlaxoSmithKline Foundation embraces its mission – to support health, science, and education programs across the state. But most of all, we embrace the people of North Carolina, who constantly work hard to help improve the lives and health of citizens across all 100 counties.

One way we do that is through our grant program, the **Ribbon of Hope**, which provides one-time \$25,000 grants to nonprofits for projects furthering health, science and education in their communities. In 2009, we awarded grants to provide one-on-one mentors for at-risk youth, and to bolster health and mental health programs, and math and science projects. We continued our strategic collaboration with Mid-Continent Research for Education and Learning (McREL) and the North Carolina Center for Nonprofits to offer **Ribbon of Hope** grant recipients more opportunities for growth.

Our **Traditional Grant** program is another way we reach out to North Carolinians. Many of these grants are multi-year in nature, and allow us to extend a helping hand to people of all ages, from school readiness programs for our youngest citizens to environmental education for middle school students to an “Education on Tour” project that will treat seniors to national and regional educational programs about history, social studies, literature, science and the arts.

We applaud our dedicated public health professionals for their commitment to improving children’s health by honoring them with our GlaxoSmithKline **Child Health Recognition Awards** program.

Another successful program of long standing is the GlaxoSmithKline **Women in Science Scholars** program, which provides undergraduate women with scholarships and mentors as they embark on an exciting journey into the world of science and the hundreds of careers it offers.

So while in 2009, as the state, the country – indeed, the world – braced for some of the worst economic news seen in decades, the North Carolina GlaxoSmithKline Foundation embraced the state of North Carolina, helping individuals, families, and entire communities by awarding grants to organizations with programs that promote health, science and education.



## *The North Carolina GlaxoSmithKline Foundation*

Supporting grants and programs in North Carolina that help to meet the educational needs of today's society and of future generations, the Foundation provided \$2,230,795 in 2009. The Foundation, chartered in 1986, began awarding grants in 1987 and collectively has provided \$45.6 million over the years in grants, with another \$3.8 million approved as part of future multi-year commitments.

### *Grants*

Grants are awarded in two ways from the North Carolina GlaxoSmithKline Foundation – as *Traditional Grants* or as *Ribbon of Hope* grants. For guidelines and grant application information, see pages 20-22.

### *Board of Directors*



From left: Julius L. Chambers, Secretary Paul A. Holcombe, Jr., Janice M. Whitaker, Legal Counsel Mark Werner, Shirley T. Frye, Charles A. Sanders, President Margaret B. Dardess, W. Robert Connor, Executive Director Marilyn E. Foote-Hudson, Thomas R. Haber and Chairman Robert A. Ingram.

Welcome to our newest board member, who is not pictured:  
Deirdre P. Connelly, President, North America Pharmaceuticals,  
GlaxoSmithKline.

## Ribbon of Hope

The NC GlaxoSmithKline Foundation **Ribbon of Hope** program provides one-time \$25,000 grants to nonprofits for projects furthering health, science and education in their communities. Since the program began, 40 nonprofits have been selected for Ribbon of Hope grants. The following grants were awarded in 2009.



### **Blue Ridge Community Health Services, Henderson County**

Students in Henderson County will have greater access to mental health services now that Blue Ridge Community Health Services has expanded its school-based health services program into two additional schools. The grant supports the expansion, resulting from a collaboration with the school system, public health department and Perry N. Rudnick Fund at the Community Foundation of Henderson County.

### **Communities in Schools of Transylvania County, Transylvania County**

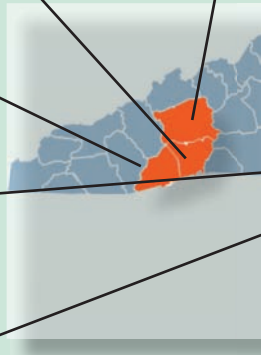
Students in Transylvania County Schools can learn more about math and science because the grant allows Communities in Schools of Transylvania County to expand Project CHAMP (Children and Mentors Program). Its mentors will be trained in science and math career exploration and hands-on science-based activities to engage students in grades 4-8.

### **Alliance for Children & Youth, Gaston County**

Middle school students in Gaston County are taking part in hands-on science projects and exploring possible science careers by studying dissection, health and body fat analysis, and topics such as plants, fuel cell cars, and air quality. The Alliance is using certified teachers to provide after school science activities.

### **Communities in Schools of Montgomery County, Montgomery County**

To combat low graduation rates and test scores for students in Montgomery County Schools, a one-to-one mentoring program will be doubled. Communities in Schools of Montgomery County will expand its existing Golden Opportunity One-to-One School Based Mentoring Program, which provides volunteer mentors for at-risk youth in grades 4-8.



**Asheville City Schools Foundation,  
Buncombe County**

Students in Asheville City Schools can improve their grades and learn better classroom behavior and leadership skills from adult mentors, as the school system uses the grant to expand a 1:1 Academic Mentoring Program launched by the Asheville Buncombe Education Coalition to provide mentors to at-risk students in grades 5-12.

**Family Violence Prevention Center Inc., Wake County**

Wake County's Family Violence Prevention Center, Interact, will offer increased crisis counseling, mental health care and substance abuse treatment for adults and children who are victims of domestic violence. The grant will help Interact offer integrated services to address family violence, mental health disorders and substance abuse in one location.

**East Carolina University, Pitt County**

Students in Pitt County will be encouraged to consider careers in science through the grant-funded East Carolina Reach Up program. The program, based at East Carolina University's College of Education, Department of Mathematics and Science Education, is a science enrichment program designed to provide African-American students in Pitt County with the opportunity to excel in science.

**Food for Thought, Dare County**

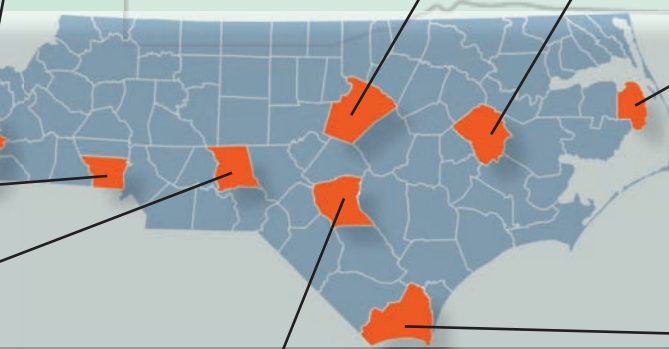
In Dare County, one in four elementary students qualifies for free and reduced meals during the school year. In recent years, cafeteria managers noticed that lines were longer on Monday for breakfasts and lunches and twice as much food was eaten. Food for Thought Inc., a unique all-volunteer community nonprofit, was born to provide meals to these students over the weekend and on holidays. The grant expands the program to serve more than 500 youth, and adds an educational component.

**Communities In Schools of Brunswick County, Inc.,  
Brunswick County**

The great outdoors offers a rich environmental classroom for elementary students in Brunswick County, especially with the grant-funded after school science program called "Expanding Horizons." The program is a collaborative science project led by Communities In Schools of Brunswick County and several partners. Educational tools include hands-on science activities, exhibits, and demonstrations.

**Great Oak Youth Development Centers, Inc., Cumberland County**

Juvenile delinquency can lead to many negative paths for youth, including failure to graduate, jail terms or joblessness. To combat this and put young men on the path to a more successful life, the Great Oak Mentoring Program in Cumberland County is using the grant to pair up adults and junior mentors with those youth most at-risk.



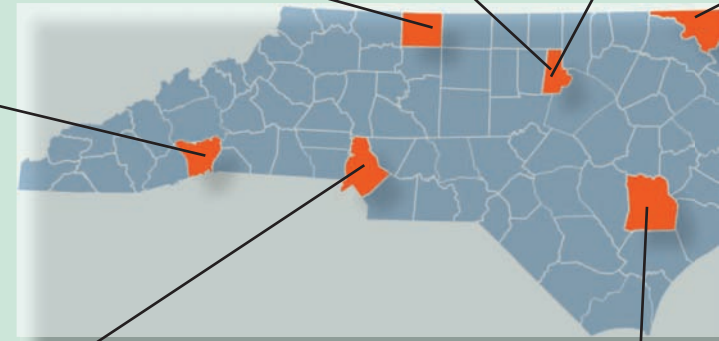
# Ribbon of Hope

**Stokes County Arts Council, Stokes County**  
Stokes County students, seniors and disabled residents will be treated to national and regional educational programs about history, social studies, literature, science and the arts with the help of a Ribbon of Hope grant. The Stokes County Arts Council is sponsoring the “Education on Tour” project, which will expose students in the Stokes County School system, along with participants of local senior centers and developmentally and intellectually disabled adults, to many special programs.

**Latino Advocacy Coalition, Henderson County**  
Aiming to help Henderson County area residents break out of the poverty cycle and develop skills to improve their lives, the Latino Advocacy Coalition will use an adult literacy grant to offer classes in English as a Second Language, General Education Diplomas (GEDs) and financial and digital literacy. The Coalition serves the growing population of Latino immigrants in western North Carolina.

**International House, Mecklenburg County**  
Low-income immigrants in Mecklenburg County will gain stronger English language skills and learn how to better use community services to improve their living situations through the grant-funded Newcomers Orientation Pilot Program. The International House program promotes adult literacy activities to increase self-sufficiency and English language competence as well as stimulate civic engagement for low-income immigrants.

**Learning Assistance Inc., Durham County**  
Durham students who are at-risk for doing poorly in school or dropping out will get a boost when Learning Assistance Inc. expands after school mentoring services through Community Learning Center (CLC) sites to 80 middle and high school aged public housing youth. The CLC will focus on improving student literacy skills, reducing school dropout rates, and encouraging positive behavior changes.



**Greater Beulaville Revitalization, Duplin County**  
Students who participate in the Beulaville Youth Center’s after school program have a great track record. All progressed to their next grade level in the past school year, with none referred to Juvenile Court counseling, and many have gone on to enroll in community college and university programs. Now this program will reach even more at-risk students, ages 5-16, with the Ribbon of Hope grant.

**North Carolina School of Science and Mathematics Foundation, Durham County**  
NCSSM is using the grant to spark students' interest in what are known as the STEM fields – science, technology, engineering and mathematics by funding “Labs for Learning.” The year-round educational program is aimed at inspiring interest and success in the STEM fields among high-potential rising 7<sup>th</sup> through 10<sup>th</sup> grade students in the northeastern North Carolina school districts of Warren, Halifax, Northampton, Bertie and Hertford counties and the Weldon City schools.

**Gregory B. Davis Foundation, Northampton County**

Northampton County residents won't have to travel far to receive better health services – with “The People's Wellness Project,” important health services come to them. The People's Wellness Project will provide information, health screening and referrals to the citizens of Garysburg and the surrounding areas of Northampton County, using physicians, nurses, nutritionists, mental health professionals and other volunteers.

**Port Discover, Pasquotank County**

Port Discover is using its grant to fund Northeastern North Carolina's Center for Hands-On Science for “Science Fair 101: Strengthening Elementary Students' Understanding of the Scientific Method and Appreciation of Practical Application of Science.” The program will provide a guidebook and hands-on instruction for creating effective science fair projects to 4<sup>th</sup> and 5<sup>th</sup> grade students in Elizabeth City-Pasquotank Public Schools, and also will be available to students in Camden County.

**National Inventors Hall of Fame® Foundation, Ohio (Carteret County)**

Elementary school students in the Greater Beaufort area will learn about science, math, history and the arts at a fun summer camp, thanks to grant-funded scholarships coordinated by the National Inventors Hall of Fame Foundation. The grant will provide greater opportunities for underserved children in grades 1-6 in the Greater Beaufort area to participate in the one-week summer enrichment Camp Invention program.



*Embracing N.C.'s Nonprofits*

# Traditional Grants

## *Traditional Grants*

The North Carolina GlaxoSmithKline Foundation awarded single or multi-year grants, from \$25,000 and up, for programs advancing health, science and education throughout the state in the *Traditional Grant* program. The following five grants were newly awarded in 2009; the remainder are on-going or amended grants from previous years.

### *Center for Child & Family Health, \$220,751*

This three-year grant will support the Center's Child and Parent Support Services' program "Baby College: First Step," targeting improved school readiness and educational outcomes for the children in East Durham neighborhoods. The program offers new parents a series of learning opportunities focused on early childhood development, supported by the Healthy Families Durham program through in-home visits. A second component incorporates sessions designed for parents and toddlers, the "Incredible Years Parents and Toddlers" program, which educates parents about the importance of play, self-esteem, relationships, daily routines, and age appropriate nurturing and development.

### *Forsyth Medical Center Foundation, \$254,815*

North Carolina lags behind many other states because it lacks an associate degree pharmacy technician program. This three-year grant will support the development of an accredited associate of applied science degree (AAS) for pharmacy technology, which will strengthen pharmacy technician training. This program aims to address the pharmacy shortage by allowing pharmacy technicians to perform some of the drug fulfillment aspects of the pharmacy practice, allowing licensed pharmacists to focus on more clinical aspects and improved patient outcomes.

### *North Carolina Healthy Start Foundation, \$405,000*

In 2007, the North Carolina GlaxoSmithKline Foundation provided \$135,000 for a pilot project called Resources in Communities Helps Encourage Solutions (RICHES). Adding a three-year grant will allow North Carolina Healthy Start to expand the RICHES project, which identified and worked with more than 200 community-based agencies to improve the health of women of reproductive age in all North Carolina counties. The project created information sharing, best practice models and toolkits to support local community-based agencies working to change the lifestyle habits of reproductive women.



*N.C. Center for Public Policy Research, Inc., \$237,000*

The Center will use the two-year grant to support the “Project to Revive and Reform Mental Health Reform in North Carolina,” which will involve evaluating the 2001 mental health reforms and privatization efforts in the state. The funding also will be used to examine several other state reform efforts and make recommended improvements to mental health services in North Carolina, as well as host forums for mental health care providers, staff, and thought leaders.

*North Carolina Public Health Association, \$25,000*

The N.C. Public Health Association is using this grant to administer the GlaxoSmithKline *Child Health Recognition Awards* program, which includes compiling nominations and establishing a committee of health care professionals to select award recipients in four categories. The program honors local health departments, public health staff and individuals for innovative, collaborative programs that improve the lives of North Carolina’s children.

*Embracing multi-year commitments we are excited with the continued projects and programs supporting health, science and education through Traditional Grants.*

*American Foundation for Aging Research, \$105,000*

The grant, distributed over five years, is being used to award fellowships to college science students to encourage research and educational opportunities about aspects of aging and age-related health concerns.

*Carolina Ballet, \$100,000*

An initial grant provided bridge funding for “Dancers in Schools” as the program transitioned to full funding from the state legislature. The grant was amended to provide support during the economic downturn to sustain the program.

*East Carolina University, \$722,266*

This grant supports a “virtual” immersion program targeting health professionals who have little or no knowledge of Spanish and is modeled after “¡A su salud! Intermediate Spanish for Health Professionals.” After completing the introductory program, learners can conduct most basic job tasks in Spanish.

*Elizabeth City State University, \$750,000*

This unique Pharmacy Partnership Program was created by the university to address the increasing shortage of pharmacists in the state and especially in eastern North Carolina. This three-year grant provides funds to the program to increase the number of professional pharmacist faculty and establish a health sciences library and drug information center.

# Traditional Grants

## *Friends of Great Smoky Mountains National Park, \$105,000*

The four-year grant is funding the group's Science Teacher Enrichment Program, which includes seminars for teachers to learn how to collect and preserve insects, inventory soil invertebrates and analyze data using statistical software.



## *Jobs for the Future, \$470,000*

This three-year grant expands the “Breaking Through” initiative to North Carolina, allowing community colleges to participate in this national effort to help low-skilled, low-income adults succeed in community college programs and in the labor market.

## *Mid-Continent Research for Education and Learning (McREL), \$125,332*

McREL is managing the **Ribbon of Hope** process. Providing information regarding the dissemination of the grant outcomes and targeted outreach is evaluated and reported through this grant program.

## *Mission Healthcare Foundation, \$55,000*

The Mission Healthcare Foundation has developed a Regional Autism Treatment Center that trains professionals on autism diagnosis and treatment, improves communication among autism organizations, and educates parents on treatment techniques. The grant is supporting the center in its efforts to meet the increasing need for services for patients, their families and medical providers.

## *Mountain Area Health Education Center, \$190,174*

Health care, especially in rural areas, can benefit from sharing best practices and innovative techniques. MAHEC is working to reinvent primary medical care in rural areas through the Hendersonville Family Medicine Residency Program, which has been selected as one of 14 demonstration-training programs nationwide to test ways to transform rural health care by linking practices with electronic databases. Resident physicians are participating in the process to create personal health care environments for patients. This three-year grant will fund a project coordinator position to collect data and analyze the effectiveness of the demonstration project.

*Museum of Life and Science, \$450,000*

The five-year grant supports environmental based learning programs, specifically to expand the 12-acre outdoor science park *Bioquest*.

*North Carolina A&T State University, \$495,000*

This three-year grant is creating a “Retention Initiative for Students in the Biological Sciences,” a program to recruit and retain highly motivated students in the sciences while increasing four-year graduation rates in the Department of Biology. The pilot project targets students interested in the biomedical sciences.



*North Carolina Center for Nonprofits, \$200,000*

The Center is providing customized consulting services to all Ribbon of Hope grant recipients. This multi-year grant will increase as Ribbon of Hope grants are awarded. The Center is helping the nonprofits better manage and lead their organizations with additional effectiveness, process simplifications and specialty training.

*North Carolina Coastal Federation, \$224,500*

With this five-year grant, the federation has launched its “Southeastern Coastal Habitat Education Program” to address land management education needs. The Federation also engages middle school students in its Wetland Nursery Program, and high schools in its Shellfish Habitat Restoration Program.

*North Carolina Center for Public Policy Research, \$446,000*

The four-year grant has been used to complete the final phase of the Performance and Future of Higher Education in North Carolina. A 2006 report by the Center led to long-range planning efforts, and better coordination in helping students move between community colleges and public universities.

*North Carolina Community College System, \$1,000,000*

This challenge grant collaboration assisted with funding to establish the North Carolina GlaxoSmithKline Teacher Preparation Scholarships, which are awarded on a competitive basis to students who agree to begin work within six years in a public or charter school in low-wealth areas of North Carolina.

# Traditional Grants

## *North Carolina Independent Colleges & Universities, \$100,000*

This grant helps to fund an endowment supporting undergraduate research opportunities for students attending North Carolina's 36 independent colleges and universities. NCICU provides competitive scholarships to students, based on financial need, to conduct undergraduate research in science, technology, engineering or mathematics.

## *North Carolina Medical Society Foundation, \$500,000*

Some communities have a hard time attracting new primary care health practitioners and critically needed specialists. This grant, along with matching funds, will be used to support the Community Practitioner Program, which improves health care by attracting health care providers to North Carolina's most needy communities. The goal is to add 30 providers and increase patient visits by 138,600 annually over three years.



## *North Carolina Museum of Art, \$200,000*

The Museum of Art will use the grant for a teacher training program to strengthen arts education in North Carolina.

## *North Carolina School of Science and Mathematics Foundation, \$85,000*

The three-year collaborative effort between the foundation and the Departments of Chemistry and Physics/Astronomy at the University of North Carolina – Chapel Hill allows high school students to design and carry out independent research projects that encourage their interest in science and improve their competencies in the field. The program is called RECAP, or Research Experience in Chemistry, Astronomy and Physics.

## *North Carolina State University – Institute for Emerging Issues, \$650,000*

This three-year grant is allowing the Institute to expand its Faculty Fellows Program to increase the number of faculty involved across the University of North Carolina system, community colleges and private and independent colleges, incorporate graduate students and significantly expand training for faculty across the system.

## *Prevent Blindness North Carolina, \$100,000*

This four-year grant provides adult vision screenings and education for low-income seniors and at-risk adults in rural counties who lack access to professional eye care.

### *The Hill Center, \$1,250,000*

The Hill Center wrapped up its initial four-year grant with successful implementation of the Hill Reading Achievement Program (HillRAP) in Durham Public Schools, and demonstrated that the results could be replicated in other classrooms. The current grant is being used to expand the program by adding a writing component, known as HillWrite.

### *University of North Carolina at Asheville Foundation, \$250,000*

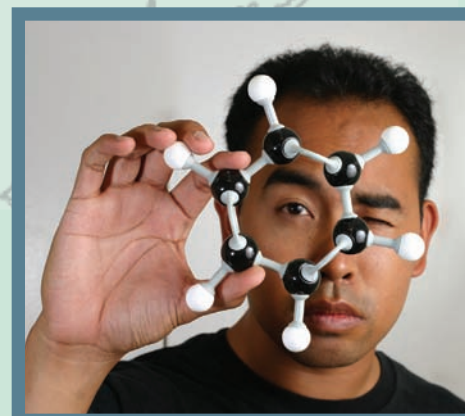
This grant will be combined with other donations to establish the GlaxoSmithKline Distinguished Professorship in Molecular and Chemical Biology. The professorship will allow the university to attract a scientist with expertise in the developing and highly promising interdisciplinary area that overlaps the boundaries of biology and chemistry. The goal is to bolster the quality of the university's research and teaching, and to produce students who are attractive candidates for graduate programs and positions in university, government and industry laboratories.

### *University of North Carolina at Chapel Hill and Duke University – School of Public Health, \$100,000*

In January 2006, the North Carolina GlaxoSmithKline Foundation awarded a \$1.65-million three-year grant to the University of North Carolina at Chapel Hill School of Medicine and School of Public Health and Duke University Medical Center and Terry Sanford Institute of Public Policy to develop collaborative projects. The priority areas are mental health, health disparities, patient safety/quality of care, and global health. The grant has been amended to add \$100,000 to expand the ALMA program, which targets improved health and mental health care for Latinos, and to add a policy-focused research intervention to the project, Photvoice.

### *University of North Carolina at Wilmington, \$400,000*

To address a projected shortage in nursing and to encourage racial/ethnic minorities and males to pursue nursing as a career, this three-year grant supports the expansion of an intensive nursing and health academy called Camp BONES. UNCW also is partnering with Winston-Salem State University to implement this program locally.



# *Embracing Learning*

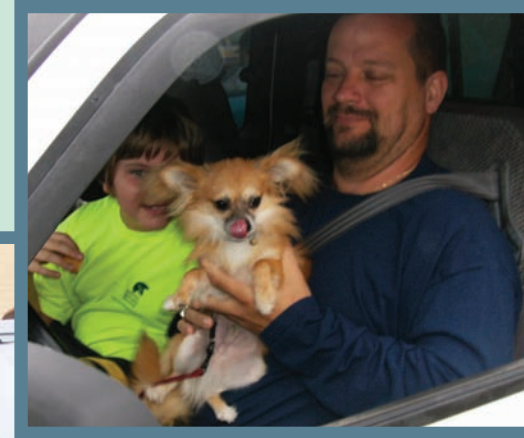
## GlaxoSmithKline *CHILD HEALTH RECOGNITION AWARDS*

### *North Carolina Public Health Association*

The awards program honors local health departments, public health staff and individuals for innovative, collaborative programs that improve the lives of North Carolina's children. The NC GlaxoSmithKline Foundation has awarded a \$25,000 grant to the North Carolina Public Health Association to help coordinate this program and provide the grant awards. The association establishes a committee of health care professionals to choose the award recipients from nominations across the state. The awards include monetary grants to advance the recipients' children's health programs, provide educational opportunities and scholarship awards.



Children need vaccinations before they head back to school. Pets also need them to stay healthy. What would happen if you set up drive-through clinics for families to get both at the same time? Cars would line up for blocks, and at the end of the day, about 1,000 animals and more than 260 people would be vaccinated. In Cleveland County, the Health Department makes vaccinations an annual family affair with its combination free rabies clinic and vaccinations for children.



For its innovation and best practices, Cleveland County was honored with a GlaxoSmithKline *CHILD HEALTH RECOGNITION AWARD* (photos courtesy of the Cleveland County Health Department)

GlaxoSmithKline *CHILD HEALTH RECOGNITION AWARDS* 2009 recipients:

- *The Lifetime Achievement Award*, given to an individual who has made an outstanding contribution to improving children's health services through a series of achievements, went to **Sue Lynn Ledford, RN, BSN, MPA, NCSN**, director of Safe Schools/Healthy Students in Cherokee County (she currently is serving as Community Health Director for Wake County Human Services).
- *The Individual Recognition Award*, given to an individual who has made an outstanding contribution to improving children's health services:
  - **Marian Earls, MD, FAAP**, president of the N.C. Pediatric Society and medical director of Guilford Child Health, Inc., and the Neonatal Follow-up Clinic at Women's Hospital, both in Greensboro
  - **Alice Elio, RN, CCHC**, Child Care Health Consultant at the Buncombe County Health Center, Asheville
- *Public Health Staff Recognition Awards* are given to full-time or part-time employees or retirees of local health departments in recognition of outstanding service in preventive health activities in their local communities:
  - **Jennifer Garrett, RN, BSN, CPN, CSN**, school nurse supervisor in the Macon County Public Health Center, Franklin
  - **Lee Lichtenwalter, MPH, RD, LDN**, public health nutrition specialist in the Durham County Health Department, Durham
  - **Deborah Massenburg, BSDH, RDH**, public health dental hygienist with the Forsyth County Department of Public Health, Winston-Salem
- *Local Health Department Recognition Awards* are made to departments that have developed innovative programs producing measurable, sustainable outcomes:
  - **Cleveland County Health Department**, Making Vaccination a Family Affair Program\*
  - **Dare County Department of Public Health**, Childhood Obesity Prevention Program
  - **Wake County Human Services** for its Child Health & Development Program

For more information about the Child Health Recognition Awards program, visit <http://us.gsk.com/html/community/community-grants-foundation.html>.

*Embracing Healthy Children*

## GlaxoSmithKline **WOMEN IN SCIENCE SCHOLARS PROGRAM**

Since 1993, the North Carolina GlaxoSmithKline Foundation has endowed more than \$1,450,000 at 29 North Carolina colleges and universities to support the Women in Science Scholars program. This unique program provides scholarships for college students studying science, and pairs the scholars with professional women scientists at GlaxoSmithKline, who serve as their mentors. These women take the scholars under their wings, providing them with expert advice and sharing their experiences and lessons learned over the years.



GlaxoSmithKline Women In Science  
*Scholars Program*

The program was initially established at 11 North Carolina colleges and universities, and has expanded over the years. Upon joining the program, each school received \$25,000 as an endowed scholarship, with discretion for investing the money. Proceeds from the return on that investment fund a yearly scholarship. In 2007, the North Carolina GSK Foundation demonstrated its strong support of the program by adding \$25,000 to each school's endowment. And last year, in light of the economic downturn's effect on investments, the Foundation board pledged another \$2,000 to each school for three years, to ensure the scholarship program would stay on track. (The funds are not intended to become part of the endowment, but are to be used for scholarships.) In all, the North Carolina GSK Foundation has paid \$1.56 million for scholarships in the WIS program.

### **PARTICIPATING COLLEGES AND UNIVERSITIES:**

- |  |  |
|--|--|
| Appalachian State University                       | North Carolina Central University          |
| Barton College                                     | North Carolina State University            |
| Bennett College                                    | North Carolina Wesleyan College            |
| Campbell University                                | Queens University of Charlotte             |
| Davidson College                                   | St. Andrews Presbyterian College           |
| East Carolina University                           | Saint Augustine's College                  |
| Elizabeth City State University                    | University of North Carolina – Asheville   |
| Elon University                                    | University of North Carolina – Chapel Hill |
| Fayetteville State University                      | University of North Carolina – Charlotte   |
| Gardner-Webb University                            | University of North Carolina – Greensboro  |
| Guilford College                                   | University of North Carolina – Pembroke    |
| Johnson C. Smith University                        | University of North Carolina – Wilmington  |
| Lenoir-Rhyne University                            | Western Carolina University                |
| Meredith College                                   | Winston-Salem State University             |
| North Carolina Agricultural &<br>Technical College |  |

## North Carolina GlaxoSmithKline Foundation Grant Distribution

Schedule of Past Grant Distribution Through Dec. 31, 2009

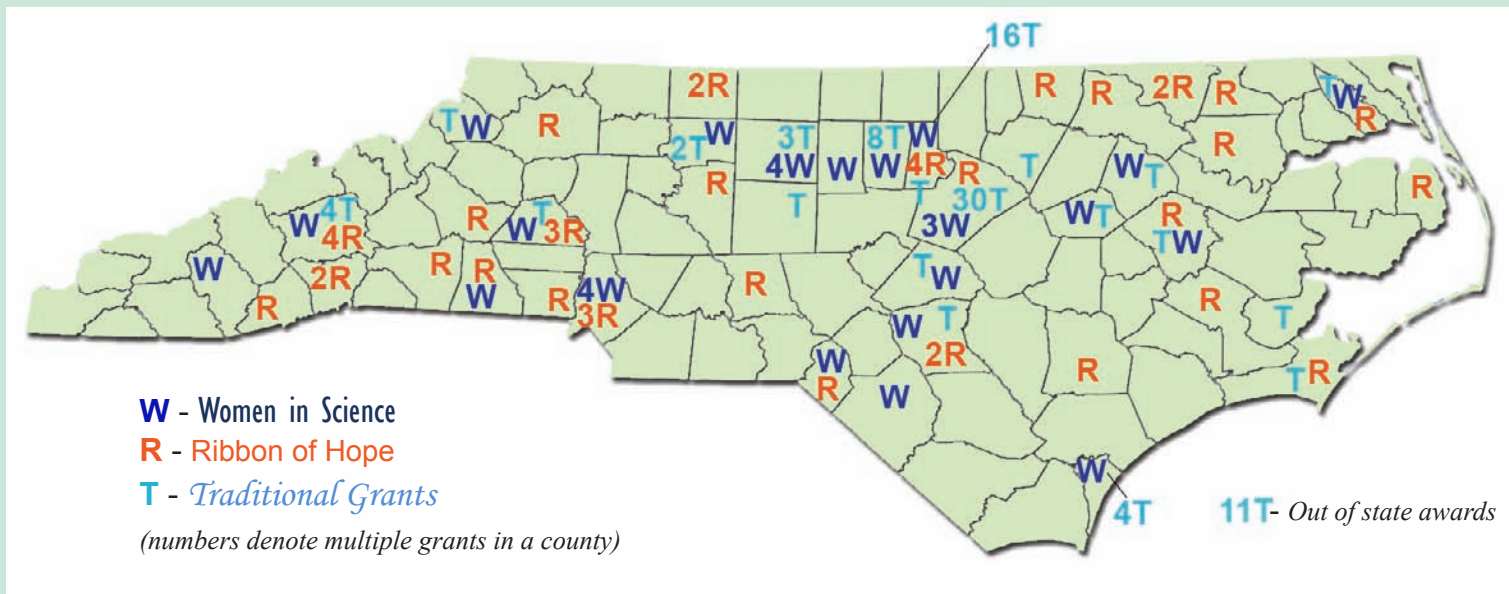
• Alice Aycock Poe Center	\$ 51,486	• Health Adventure	40,550
• American Chemical Society	300,000	• Hill Center	1,213,600
• American Foundation for Aging	105,000	• Hill Center	640,000
• Appalachian State University	300,000	• Hill Center	750,000
• Barton College	75,000	• Hill Center – Endowment	250,000
• Bennett College	100,000	• Inroads/NC Triangle, Inc.	31,350
• Campbell University	120,000	• Jobs For the Future	490,000
• Carolina Ballet	750,000	• KidSenses Inc.	160,000
• Catawba Science Center	40,000	• Leukemia Society of America	150,000
• Center for Child & Family Health	69,495	• Louisburg College	200,000
• Children’s Museum Around the World (Exploris)	1,000,000	• Massachusetts College of Pharmacy	250,000
• Duke University Child Development Unit	512,575	• MDC	75,000
• Duke University Fuqua School of Business	250,000	• Mid-Continent Research for Education and Learning (McREL)	125,332
• Duke University Fuqua School of Business, Health Sector Management Program	1,117,800	• Meredith College	600,000
• Duke University Medical Center, Life Flight	16,000	• Milton S. Hershey Medical Center	120,000
• Duke University Medical Center	750,000	• Mission Healthcare Autism Center	55,000
• Duke University Medical Center (Duke/UNC Collaboration)	925,000	• Mountain Area Health Education Center	124,360
• Duke University Sanford Institute	200,000	• Museum of Life and Science	850,000
• Duke University Sanford Institute	1,000,000	• Museum of Life and Science	400,000
• Duke University Talent Identification Program	190,000	• National Humanities Center	30,000
• Durham Technical Community College Foundation, Inc.	500,000	• National Humanities Center	250,000
• East Carolina University	722,266	• National Humanities Center	1,500,000
• Eisenhower Exchange Fellowships	200,000	• National Humanities Center	36,139
• Elizabeth City State University	750,000	• North Carolina A&T State University	495,000
• Family Resources – Rutherford County	35,363	• N.C. Agricultural Foundation	150,397
• Fayetteville Museum of Art	30,567	• N.C. Center for Nonprofits	200,000
• Forsyth Medical Center Foundation	148,940	• N.C. Center for Public Policy Research, Inc.	100,000
• Friends of Great Smoky Mountain National Park	105,000	• N.C. Center for Public Policy Research, Inc.	160,000
• GlaxoSmithKline Women in Science Endowments (various schools)	1,562,000	• N.C. Center for Public Policy Research, Inc.	451,000
• Guilford College	1,000,000	• N.C. Center for Public Policy Research	136,000
		• N.C. Central University – Biotech Institute	1,000,000
		• N.C. Central University Foundation	50,000
		• N. C. Central University Foundation	1,000,000

# Grant Distribution

## Grant Distribution continued

• N. C. Child Advocacy Institute	240,000	• Public School Forum of North Carolina, Science Summer Camp	222,586
• N. C. Child Advocacy Institute	267,500	• Recording for the Blind	25,000
• N. C. Coastal Federation	131,425	• Recording for the Blind	45,000
• N. C. Community Colleges Foundation, Inc.	100,000	• Research Triangle Institute	195,000
• N. C. Community Colleges Foundation, Inc.	1,000,000	• Ribbon of Hope	975,000
• N. C. Healthy Start Foundation	135,000	(each nonprofit received \$25,000):	
• N. C. Healthy Start Foundation	135,000	• Allegro Foundation	
• N. C. Independent Colleges & Universities	100,000	• Alliance for Children & Youth	
• N. C. Medical Society Foundation	250,000	• Asheville City Schools Foundation	
• N. C. Museum of Art Foundation	1,000,000	• Blue Ridge Community Health Services	
• N. C. Museum of Art Foundation	100,000	• Catawba Science Center	
• N. C. Museum of Natural Sciences	500,000	• Centro de Enlace	
• N. C. Partnership for Excellence	250,000	• Chatham Family Resource Center	
• N. C. Public Health Association	24,000	• Children First of Buncombe County	
• N. C. School of the Arts	100,000	• Communities in Schools of Brunswick County	
• N. C. School of Science and Mathematics RECAP	85,000	• Communities in Schools of Cleveland County, Inc.	
• N. C. School of Science and Mathematics	763,410	• Communities in Schools of Montgomery County	
• N. C. School of Science and Mathematics	250,000	• Communities in Schools of Transylvania County	
• N. C. School of Science and Mathematics	1,035,137	• Coordinating Council for Senior Citizens	
• N. C. Science and Mathematics Alliance	150,000	• Cumberland County Education Foundation	
• N. C. Society of Electron Microscopy	28,000	• East Carolina University	
• N. C. State University College of Humanities	125,000	• Exceptional Children's Assistance Center	
• N. C. State University Center for Emerging Issues	250,000	• Family Violence Prevention Center	
• N. C. State University Extension	61,100	• Food for Thought, Inc.	
• N. C. State University Friday Institute	1,000,000	• Friends of the W. Kerr Scott Lake, Inc.	
• N. C. State University – Institute for Emerging Issues	650,000	• Futures for Kids, Inc.	
• N. C. State University Science House	100,000	• Girls on the Run of Western North Carolina	
• N. C. Wesleyan University	73,000	• Great Oak Youth Development Centers, Inc.	
• N. C. Zoological Society	12,250	• Greater Beulaville Revitalization	
• Occoneechee Council, Boy Scouts of America	150,000	• Gregory B. Davis Foundation	
• Peace College	100,000	• Handmade in America	
• Prevent Blindness NC	100,000	• Housing for New Hope	
• Prevent Blindness NC	25,000	• International House	
• Project Uplift	53,726	• Latino Advocacy Coalition	
• Project Uplift	28,000	• Learning Assistance, Inc.	
• Public School Forum of North Carolina	63,856		

- Literacy Council of Union County
  - National Inventors Hall of Fame Foundation
  - Neuse River Foundation and Center for Applied Aquatic Ecology
  - North Carolina School of Science & Math Foundation
  - Port Discover
  - Scotland County Literacy Council
  - Stokes County Arts Council
  - Stokes County Mental Health Association
  - Student U
  - University of North Carolina – Charlotte
- |   |         |  |           |
|---|---------|--|-----------|
| • Rutgers University Professorship                        | 750,000 | • UNC at Asheville   | 250,000   |
| • Saint Augustine’s College                               | 250,000 | • UNC-Chapel Hill/John C. Parker Professorship                           | 50,000    |
| • Science STARS   | 52,000  | • UNC-Chapel Hill/Lineberger Comprehensive Cancer Center                 | 250,000   |
| • Shaw University   | 200,000 | • UNC-Chapel Hill/School of Medicine                                     | 250,000   |
| • SouthEastern Regional Vision for Education              | 194,007 | • UNC-Chapel Hill/School of Pharmacy                                     | 1,000,000 |
| • St. John’s Museum of Art                                | 50,000  | • UNC-Chapel Hill/School of Public Health                                | 200,000   |
| • Task Force for Child Survival & Development (Endowment) | 110,000 | • UNC -Chapel Hill/School of Public Health (ECHO)                        | 1,400,000 |
| • UNC at Asheville  | 233,000 | • UNC-Chapel Hill/School of Public Health (PHO)                          | 1,508,152 |
|   |         | • UNC-Chapel Hill/School of Public Health (PHO) (Duke/UNC Collaboration) | 825,000   |
|   |         | • UNC-General Administration   | 400,000   |
|   |         | • UNC Law Foundation   | 250,000   |
|   |         | • UNC Mathematics and Science Network                                    | 68,400    |
|   |         | • UNC at Wilmington, Center for Marine Science Research                  | 646,940   |
|   |         | • UNC at Wilmington, College Foundation                                  | 400,000   |
|   |         | • UNC at Wilmington, Nursing Program                                     | 400,000   |



## *Traditional Grant Requirements*

The North Carolina GlaxoSmithKline Foundation supports activities that help meet the educational and health needs of today's society and future generations. Focused primarily in North Carolina, the Foundation funds programs for the advancement of education, science and health. The primary focus of the North Carolina GlaxoSmithKline Foundation is to provide seed funds for new and worthwhile educational programs. This policy does not preclude the consideration and possible funding of ongoing projects. Proposals may be submitted for one year of funding or multi-year funding with a maximum duration of five years.

The Board of Directors will consider proposals that fall within the stated guidelines. Ordinarily, the board does not provide funds to programs that benefit a limited geographical region. The board may make an exception to this policy when a program has the potential to transcend its community's geographic boundaries or is established as a pilot program to be replicated on a larger scale. As a matter of policy, the board does not pay indirect costs.

The Foundation makes grants only to nonprofit, charitable organizations and institutions exempt under Section 501(c)(3) of the Internal Revenue Code; individuals may not apply. No grants are made for construction or restoration projects, or for international programs. The Foundation does not provide critiques of unsuccessful applications.

Successful applicants must submit to the Foundation written progress reports. Before the Foundation releases funds to an organization, the organization must review and approve a written agreement forwarded by the Board. Once the funds are released, successful applicants must submit written progress reports on an interim and final basis for each grant awarded. Specific reporting guidelines will be provided at the time a grant is made. Organizations that have received a previous grant from the Foundation must first meet all reporting requirements in order for an additional application to be considered.

### *For Further Information*

North Carolina GlaxoSmithKline Foundation  
Five Moore Drive  
PO Box 13398  
Research Triangle Park, NC 27709  
Phone 919-483-2140; Fax 919-315-3015

[www.us-gsk.com/community/ncfound.htm](http://www.us-gsk.com/community/ncfound.htm)  
email: [community.partnership@gsk.com](mailto:community.partnership@gsk.com) (with "NC Foundation" in the title field)

Only one application will be accepted from each eligible institution per funding cycle. Please include the following items in the order indicated with a cover letter signed by the president, director, or equivalent official of the applicant institution:

### *Tax Exempt Documentation*

A letter of certification from the Internal Revenue Service or other documentation that the institution is a tax-exempt 501(c)(3).

### *Executive Summary*

An executive summary of the planned activities should not exceed two double-spaced pages. Include the principal components of the proposed programs and describe briefly the activities that will be undertaken within each component. Provide the total budget requested for the proposed program and the amount requested for each major activity.

### *Narrative Section*

Clearly describe the objectives and explain the overall strategy of the proposed activities. Points to include:

- Outline the activities in detail and provide the rationale for each major activity and anticipated outcomes.
- Indicate whether the approach is part of a long-term plan that the applicant has for the advancement of education, science and health.
- Provide information on how the activities will be administered and which staff will be involved. Programs involving other organizations or institutions should list the institution and key staff and describe their specific responsibilities. Indicate how much time each staff person will commit to each activity. A letter of commitment must be obtained from any institution whose cooperation is essential to meeting the goals and objectives of the proposed activities. It should include a description of the nature of the collaboration. Up to five other general letters of endorsement will be reviewed by the board.
- Conclude the narrative section with a discussion of how the applicant plans to monitor progress toward each objective and measurable outcome of the proposed activity. Explain plans for

the following with regard to the proposed activity: replication, leverage of alternative funds, sustainability, systemic solutions, national or global impact, and dissemination of program information. State the major benefits of the proposed activity to your organization and to the communities involved.

### *Budget Section*

Provide details on the types of expenses for each activity proposed. Provide the total budget requested for the proposed program and the amount requested for each major activity, including the percentage of the requested program budget that each activity represents. The minimum budget request must total \$25,000. As a matter of policy, the board does not pay indirect costs.

Applicants may not be required to make financial or in-kind contributions or obtain additional outside support. However, if additional funders will be sought and/or commitments exist, they should be described briefly in the narrative section of the proposal.

### *Additional Institutional Information and Financial Data*

This section should include a summary of general information on the applicant organization including details of unique capabilities, relevant programs and expertise. Include resumés of the program director and key personnel (not to exceed two pages each). Include a summary of the organization's revenues and support during the past three fiscal years.

### *Application Deadlines*

The North Carolina GlaxoSmithKline Foundation Board of Directors meets four times a year to consider and award grants. Completed applications must be received by: Jan. 1, April 1, July 1 or Oct. 1. If the stated deadline falls on a weekend or holiday, the next business day serves as the official deadline. Applicants will be notified of the board's decision.

## Ribbon of Hope

The North Carolina GlaxoSmithKline Foundation launched its **Ribbon of Hope** program in 2006 and began awarding grants in 2008. Under this initiative, nonprofits are invited to apply for one-time grants of \$25,000 for projects furthering science, health and education in their communities. The projects must be consistent with the mission and guidelines of the Foundation and relevant to local community needs. Also, geographic distribution will be a significant selection criterion, to ensure that the grant impact is felt. The North Carolina Center for Nonprofits provides customized consulting services to **Ribbon of Hope** grant recipients.

### Ribbon of Hope Requirements

**Ribbon of Hope** proposals will be considered that relate to the establishment and implementation of projects tightly aligned with goals and objectives of local community agencies, demonstrate their sustainability after grant funds are expended and, most importantly, address critical community needs that have been identified through comprehensive needs assessment activities. North Carolina community-based nonprofit, charitable organizations and institutions exempt under Section 501(c)(3) of the Internal Revenue Code are eligible to apply; individuals may not apply. Nonprofits with proposals pertaining to health, science and education are encouraged to apply. Collaborative partnerships between several community-based organizations also are encouraged to apply.

The complete application and related materials are at [www.GSKRibbonOfHope.mcrcel.org](http://www.GSKRibbonOfHope.mcrcel.org).

For additional information, you may also email [RibbonOfHope@mcrcel.org](mailto:RibbonOfHope@mcrcel.org) or call 303-337-0990.

The Foundation intends to make grant awards two times per year. Application submission deadlines and grant award notification dates are:

Application Deadline	Award Notification
October 1, 2010	March 2011
April 1, 2011	October 2011
October 1, 2011	March 2012



## North Carolina GlaxoSmithKline Foundation Financials

### Statement of Operations and Fund Balance

Revenue	2009*	2008
Interest & Dividends	\$1,036,486	\$ 1,190,894
Net Realized Gain & Unrealized Gain on Investments	\$13,296,128	(\$23,668,108)
<b>Total Revenues</b>	<b>\$14,787,339</b>	<b>(\$22,477,214)</b>
Expenses		
Awards Granted	\$2,347,527	\$ 3,704,989
Provision for Federal Excise Tax	\$20,730	\$ 51,618
Management & Miscellaneous Expenses	\$929,220	\$1,063,119
<b>Total Expenses</b>	<b>\$3,297,476</b>	<b>\$4,819,725</b>
Net Revenues	\$11,489,862	(\$26,914,439)
Fund Balance Beginning of Year	\$41,418,373	\$ 68,332,812
<b>Fund Balance End of Year</b>	<b>\$52,908,235</b>	<b>\$ 41,418,373</b>

### Investments

	Cost	2008 Market
Bond Mutual Fund	\$ 12,756,405	\$ 12,020,513
Stocks	\$ 38,337,601	\$ 28,489,565
Real Estate	\$ 3,308,299	\$ 2,193,821
<b>Total Investments</b>	<b>\$54,402,305</b>	<b>\$ 42,703,899</b>
	Cost	2009 Market*
Bond Mutual Fund	\$9,053,018	\$11,624,366
Stocks	\$30,844,624	\$33,105,013
Real Estate	\$3,995,812	\$3,425,814
<b>Total Investments</b>	<b>\$50,615,780</b>	<b>\$53,742,427</b>

### Statement of Financial Position

Assets	2009*	2008
Bonds	\$11,624,366	\$ 12,020,513
Stocks	\$33,105,013	\$ 28,489,565
Real Estate	\$3,425,814	\$ 2,193,820
<b>Total Investments</b>	<b>\$53,742,427</b>	<b>\$ 42,703,898</b>
Cash & Cash Equivalents	\$2,875,830	\$ 2,498,305
Receivables & Prepaids	\$ 0	\$ 0
Total Cash & Prepaids	\$2,875,830	\$ 2,498,305
<b>Total Assets</b>	<b>\$ 56,618,257</b>	<b>\$ 45,202,203</b>
Liabilities and Fund Balance		
Awards Payable & Accrued Expenses	\$3,710,022	\$ 3,783,830
Fund Balance	\$ 52,908,235	\$ 41,418,373
<b>Total Liabilities &amp; Fund Balance</b>	<b>\$ 56,618,257</b>	<b>\$ 45,202,203</b>

\*Unaudited figures through 12/31/2009

# North Carolina GlaxoSmithKline Foundation

FOR THE ADVANCEMENT OF  
EDUCATION, SCIENCE AND HEALTH

## *Officers*

Robert A. Ingram, Chairman  
Margaret B. Dardess, President  
Paul A. Holcombe, Jr., Secretary  
Marilyn Foote-Hudson, Executive Director  
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Janice M. Whitaker

## *Staff*

Melinda Harris, Fiscal Analyst  
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<http://us.gsk.com/html/community/community-grants-foundation.html>

